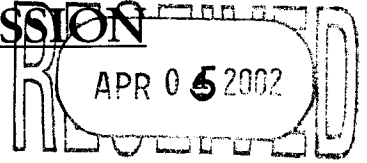


ARIZONA CORPORATION COMMISSION
UTILITIES DIVISION

ARIZONA CORPORATION
COMMISSION



Director of Utilities

ANNUAL REPORT MAILING LABEL – MAKE CHANGES AS NECESSARY



WS-03448A
ESCAPEES AT NORTH RANCH - *Sewer*
100 RAINBOW DR.
LIVINGSTON TX 77351-0000

ANNUAL REPORT

FOR YEAR ENDING

12	31	2001
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FOR COMMISSION USE

ANN05	01
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1

PROCESSED BY:

4-5-02 cm

SCANNED

COMPANY INFORMATION

Company Name (Business Name) Rainbow Parks, Inc.

Mailing Address 100 Rainbow Drive
(Street)
Livingston TX 77351
(City) (State) (Zip)

936-327-8873 936-327-4388
Telephone No. (Include Area Code) Fax No. (Include Area Code) Pager/Cell No. (Include Area Code)

Email Address _____

Local Office Mailing Address 100 Rainbow Drive
(Street)
Livingston TX 77351
(City) (State) (Zip)

SAME
Local Office Telephone No. (Include Area Code) Fax No. (Include Area Code) Pager/Cell No. (Include Area Code)

Email Address _____

MANAGEMENT INFORMATION

Management Contact: Bud CARER President
(Name) (Title)
100 Rainbow Dr. Livingston TX 77351
(Street) (City) (State) (Zip)

SAME
Telephone No. (Include Area Code) Fax No. (Include Area Code) Pager/Cell No. (Include Area Code)

Email Address _____

On Site Manager: KAREN Baldwin
(Name)
317 N. Pleasant Prescott AZ 86301
(Street) (City) (State) (Zip)

520-772-7711
Telephone No. (Include Area Code) Fax No. (Include Area Code) Pager/Cell No. (Include Area Code)

Email Address _____

Statutory Agent: _____

(Name)

(Street)

(City)

(State)

(Zip)

Telephone No. (Include Area Code)

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

Attorney: _____

(Name)

(Street)

(City)

(State)

(Zip)

Telephone No. (Include Area Code)

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

OWNERSHIP INFORMATION

Check the following box that applies to your company:

☐ Sole Proprietor (S)

☒ C Corporation (C) (Other than Association/Co-op)

☐ Partnership (P)

☐ Subchapter S Corporation (Z)

☐ Bankruptcy (B)

☐ Association/Co op (A)

☐ Receivership (R)

☐ Limited Liability Company

☐ Other (Describe) _____

COUNTIES SERVED

Check the box below for the county/ies in which you are certificated to provide service:

☐ APACHE

☐ COCHISE

☐ COCONINO

☐ GILA

☐ GRAHAM

☐ GREENLEE

☐ LA PAZ

☐ MARICOPA

☐ MOHAVE

☐ NAVAJO

☐ PIMA

☐ PINAL

☐ SANTA CRUZ

☒ YAVAPAI

☐ YUMA

☐ STATEWIDE

COMPANY NAME

UTILITY PLANT IN SERVICE

Acct. No.	DESCRIPTION	Original Cost (OC)	Accumulated Depreciation (AD)	O.C.L.D. (OC less AD)
351	Organization			
352	Franchises			
353	Land and Land Rights	16,000	-	16,000
354	Structures and Improvements	1,200	300	900
355	Power Generation Equipment	9,304	1,706	7,598
360	Collection Sewers – Force	116,000	29,000	87,000
361	Collection Sewers – Gravity			
362	Special Collecting Structures			
363	Services to Customers			
364	Flow Measuring Devices			
365	Flow Measuring Installations			
370	Receiving Wells	14,706	3,676	11,030
380	Treatment and Disposal Equip.	219,125	55,183	163,942
381	Plant Sewers			
382	Outfall Sewer Lines			
389	Other Plant and Misc. Equipment			
390	Office Furniture and Equipment	4,000	3,107	893
391	Transportation Equipment			
393	Tools, Shop and Garage Equip.	3,423	1,710	1,713
394	Laboratory Equipment			
395	Power Operated Equipment			
398	Other Tangible Plant			
	TOTALS	383,758	94,682	289,076

This amount goes on the Balance Sheet Acct. No. 108

COMPANY NAME

CALCULATION OF DEPRECIATION EXPENSE

Acct. No.	DESCRIPTION	Original Cost (1)	Depreciation Percentage (2)	Depreciation Expense (1x2)
351	Organization			
352	Franchises			
353	Land and Land Rights	16,000	N/A	—
354	Structures and Improvements	1,200	.05	60
355	Power Generation Equipment	9,304	.10	930
360	Collection Sewers – Force	116,000	.05	5,800
361	Collection Sewers – Gravity			
362	Special Collecting Structures			
363	Services to Customers			
364	Flow Measuring Devices			
365	Flow Measuring Installations			
370	Receiving Wells	14,706	.05	735
380	Treatment and Disposal Equip.	219,125	.05	10,956
381	Plant Sewers			
382	Outfall Sewer Lines			
389	Other Plant and Misc. Equipment			
390	Office Furniture and Equipment	4,000	.2857	357
391	Transportation Equipment			
393	Tools, Shop and Garage Equip.	3,423	.10	342
394	Laboratory Equipment			
395	Power Operated Equipment			
398	Other Tangible Plant			
	TOTALS	383,758		19,412

This amount goes on Comparative Statement of Income and Expense Acct. 403

COMPANY NAME

BALANCE SHEET

Acct. No.		BALANCE AT BEGINNING OF TEST YEAR	BALANCE AT END OF YEAR
	ASSETS		
	CURRENT AND ACCRUED ASSETS		
131	Cash	\$ 12,510	\$ 28,967
132	Special Deposits		
135	Temporary Cash Investments		
141	Customer Accounts Receivable	3,055	4,626
146	Notes/Receivables from Associated Companies		
151	Plant Material and Supplies		
162	Prepayments		
174	Miscellaneous Current and Accrued Assets		
	TOTAL CURRENT AND ACCRUED ASSETS	\$ 15,565	\$ 33,593
	FIXED ASSETS		
101	Utility Plant in Service	\$ 383,246	\$ 383,758
103	Property Held for Future Use		
105	Construction Work in Progress		
108	Accumulated Depreciation – Utility Plant	-75,902	-94,682
121	Non-Utility Property		
122	Accumulated Depreciation – Non Utility		
	TOTAL FIXED ASSETS	\$ 307,344	\$ 2,89,076
	TOTAL ASSETS	\$ 322,909	\$ 322,669

NOTE: Total Assets on this page should equal **Total Liabilities and Capital** on the following page.

COMPANY NAME

BALANCE SHEET (CONTINUED)

Acct. No.	LIABILITIES	BALANCE AT BEGINNING OF TEST YEAR	BALANCE AT END OF YEAR
	CURRENT LIABILITIES		
231	Accounts Payable	\$	\$
232	Notes Payable (Current Portion)		
234	Notes/Accounts Payable to Associated Companies	12,468	8,998
235	Customer Deposits		
236	Accrued Taxes	227	224
237	Accrued Interest		
241	Miscellaneous Current and Accrued Liabilities		
	TOTAL CURRENT LIABILITIES	\$ 12,895	\$ 9,222
	LONG-TERM DEBT (Over 12 Months)		
224	Long-Term Notes and Bonds	\$	\$
	DEFERRED CREDITS		
252	Advances in Aid of Construction	\$	\$
253	Other Deferred Credits		
255	Accumulated Deferred Investment Tax Credits		
271	Contributions in Aid of Construction		
272	Less: Amortization of Contributions		
281	Accumulated Deferred Income Tax		
	TOTAL DEFERRED CREDITS	\$	\$
	TOTAL LIABILITIES	\$ 12,895	\$ 9,222
	CAPITAL ACCOUNTS		
201	Common Stock Issued	\$ 500	\$ 500
211	Other Paid in Capital		
215	Retained Earnings	309,514	312,316
218	Proprietary Capital (Sole Props and Partnerships)		
	TOTAL CAPITAL	\$ 310,014	\$ 312,816
	TOTAL LIABILITIES AND CAPITAL	\$ 322,909	\$ 322,038

COMPANY NAME

SUPPLEMENTAL FINANCIAL DATA *N/A*
Long-Term Debt

	LOAN #1	LOAN #2	LOAN #3	LOAN #4
Date Issued				
Source of Loan				
ACC Decision No.				
Reason for Loan				
Dollar Amount Issued	\$	\$	\$	\$
Amount Outstanding	\$	\$	\$	\$
Date of Maturity				
Interest Rate	%	%	%	%
Current Year Interest	\$	\$	\$	\$
Current Year Principle	\$	\$	\$	\$

COMPANY NAME

WASTEWATER COMPANY PLANT DESCRIPTION

TREATMENT FACILITY

TYPE OF TREATMENT (Extended Aeration, Step Aeration, Oxidation Ditch, Aerobic Lagoon, Anaerobic Lagoon, Trickling Filter, Septic Tank, Wetland, Etc.)	extended Aeration
DESIGN CAPACITY OF PLANT (Gallons Per Day)	55,000

LIFT STATION FACILITIES

Location	Quantity of Pumps	Horsepower Per Pump	Capacity Per Pump (GPM)	Wet Well Capacity (gals)
5 mile south of Congress on Hwy 89	2	1	37	5,500

FORCE MAINS

Size	Material	Length (Feet)
4-inch	PVC	240
6-inch		

MANHOLES

Type	Quantity
Standard	39
Drop	

CLEANOUTS

Quantity
2

COMPANY NAME

WASTEWATER COMPANY PLANT DESCRIPTION CONTINUED

COLLECTION MAINS

SERVICES

Size (in inches)	Material	Length (in feet)
4	PVC	18,643
6		
8	PVC	290
10		
12		
15		
18		
21		
24		
30		

Size (in inches)	Material	Quantity
4	PVC	436
6		
8		
12		
15		

FOR THE FOLLOWING FIVE ITEMS, LIST THE UTILITY OWNED ASSETS IN EACH CATEGORY

SOLIDS PROCESSING AND HANDLING FACILITIES	
DISINFECTION EQUIPMENT (Chlorinator, Ultra-Violet, Etc.)	Ultra violet
FILTRATION EQUIPMENT (Rapid Sand, Slow Sand, Activated Carbon, Etc.)	Aerobic
STRUCTURES (Buildings, Fences, Etc.)	Blower pump bldg., fence surrounding sewer treatment And disposal AREA.
OTHER (Laboratory Equipment, Tools, Vehicles, Standby Power Generators, Etc.)	Standby power generator

COMPANY NAME

WASTEWATER FLOWS

MONTH/YEAR (Most Recent 12 Months)	NUMBER OF SERVICES	TOTAL MONTHLY SEWAGE FLOW	SEWAGE FLOW ON PEAK DAY
1/01	271	391267	17118
2/01	273	375610	16329
3/01	279	442654	21779
4/01	281	367558	15630
5/01	283	285032	11565
6/01	285	254696	16256
7/01	286	166426	7300
8/01	289	162560	8010
9/01	291	346562	16500
10/01	301	222839	10928
11/01	315	773802	18742
12/01	324	423535	18635

PROVIDE THE FOLLOWING INFORMATION AS APPLICABLE

Method Of Effluent Disposal (leach field, surface water discharge, reuse, injection wells, groundwater recharge, evaporation ponds, etc.)	
Wastewater Inventory Number (all wastewater systems are assigned an inventory number)	101870
Groundwater Permit Number	G-0001-13
ADEQ Aquifer Protection Permit Number	P-101870
ADEQ Reuse Permit Number	
EPA NPDES Permit Number	

STATISTICAL INFORMATION

Total number of customers 324

Total number of gallons treated 4,212,541 gallons

COMPANY NAME _____ YEAR ENDING 12/31/2001

INCOME TAXES

For this reporting period, provide the following:

Federal Taxable Income Reported Loss
Estimated or Actual Federal Tax Liability none

State Taxable Income Reported 0
Estimated or Actual State Tax Liability 0

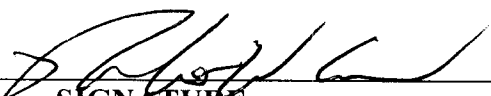
Amount of Grossed-Up Contributions/Advances:

Amount of Contributions/Advances 0
Amount of Gross-Up Tax Collected 0
Total Grossed-Up Contributions/Advances 0

Decision No. 55774 states, in part, that the utility will refund any excess gross-up funds collected at the close of the tax year when tax returns are completed. Pursuant to this Decision, if gross-up tax refunds are due to any Payer or if any gross-up tax refunds have already been made, attach the following information by Payer: name and amount of contribution/advance, the amount of gross-up tax collected, the amount of refund due to each Payer, and the date the Utility expects to make or has made the refund to the Payer.

CERTIFICATION

The undersigned hereby certifies that the Utility has refunded to Payers all gross-up tax refunds reported in the prior year's annual report. This certification is to be signed by the President or Chief Executive Officer, if a corporation; the managing general partner, if a partnership; the managing member, if a limited liability company or the sole proprietor, if a sole proprietorship.


SIGNATURE

4-01-02
DATE

Robert W. Carr
PRINTED NAME

President
TITLE

COMPANY NAME _____ YEAR ENDING 12/31/2001

PROPERTY TAXES

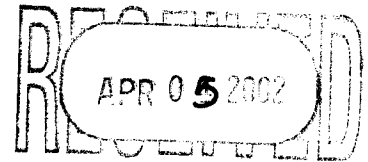
Amount of actual property taxes paid during Calendar Year 2001 was: \$ 3,744.14

Attach to this annual report proof (e.g. property tax bills stamped "paid in full" or copies of cancelled checks for property tax payments) of any and all property taxes paid during the calendar year.

If no property taxes paid, explain why. _____

**VERIFICATION
AND
SWORN STATEMENT
Intrastate Revenues Only**

ARIZONA CORPORATION
COMMISSION



VERIFICATION

STATE OF Texas

I, THE UNDERSIGNED

OF THE

COUNTY OF (COUNTY NAME) <u>Polk</u>	Director of Utilities
NAME (OWNER OR OFFICIAL) TITLE <u>Robert W. Carr, Pres.</u>	
COMPANY NAME <u>Rainbow Parks, Inc.</u>	

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
<u>12</u>	<u>31</u>	<u>2001</u>

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENT OF TITLE 40, ARTICLE 8, SECTION 40-401, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS DURING CALENDAR YEAR 2001 WAS:

Arizona IntraState Gross Operating Revenues Only (\$)

\$ 49,897

(THE AMOUNT IN BOX ABOVE
INCLUDES \$ 2,862.72
IN SALES TAXES BILLED, OR COLLECTED

****REVENUE REPORTED ON THIS PAGE MUST
INCLUDE SALES TAXES BILLED OR
COLLECTED. IF FOR ANY OTHER REASON,
THE REVENUE REPORTED ABOVE DOES NOT
AGREE WITH TOTAL OPERATING REVENUES**

**IF SEEN WHERE REPORTED, ATTACH THOSE
STATEMENTS THAT RECONCILE THE
DIFFERENCE. (EXPLAIN IN DETAIL)**

[Signature]
SIGNATURE OF OWNER OR OFFICIAL
936-327-8873
TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

NOTARY PUBLIC IN AND FOR THE COUNTY OF

3RD DAY OF

(SEAL)

COUNTY NAME <u>Polk</u>	
MONTH <u>April</u>	<u>2002</u>

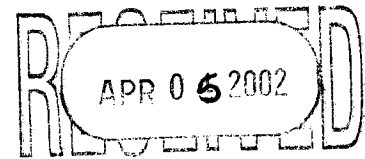
[Signature]
SIGNATURE OF NOTARY PUBLIC

MY COMMISSION EXPIRES
DECEMBER 11, 2004
KAREN NEEDHAM

MY COMMISSION EXPIRES 12-11-04

**VERIFICATION
AND
SWORN STATEMENT
RESIDENTIAL REVENUE
INTRASTATE REVENUES ONLY**

ARIZONA CORPORATION
COMMISSION



VERIFICATION

STATE OF ARIZONA

I, THE UNDERSIGNED

OF THE

(COUNTY NAME) <u>Polk</u>		Director of Utilities
NAME (OWNER OR OFFICIAL) <u>Robert W. Carr</u>	TITLE <u>President</u>	
COMPANY NAME <u>Rainbow Parks, Inc.</u>		

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
<u>12</u>	<u>31</u>	<u>2001</u>

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

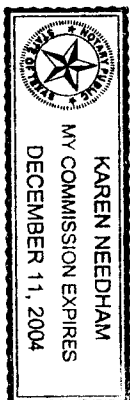
IN ACCORDANCE WITH THE REQUIREMENTS OF TITLE 40, ARTICLE 8, SECTION 40-401.01, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS RECEIVED FROM RESIDENTIAL CUSTOMERS DURING CALENDAR YEAR 2001 WAS:

ARIZONA INTRASTATE GROSS OPERATING REVENUES
\$ <u>49,897</u>

(THE AMOUNT IN BOX AT LEFT
INCLUDES \$ 2,862.72
IN SALES TAXES BILLED, OR COLLECTED

***RESIDENTIAL REVENUE REPORTED ON THIS PAGE
MUST INCLUDE SALES TAXES BILLED.**

X [Signature]
SIGNATURE OF OWNER OR OFFICIAL



SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

THIS

3RD

DAY OF

(SEAL)

MY COMMISSION EXPIRES 12-11-04

NOTARY PUBLIC NAME <u>Karen Needham</u>	
COUNTY NAME <u>Polk</u>	
MONTH <u>April</u>	2002

X [Signature]
SIGNATURE OF NOTARY PUBLIC

Ross D. Jacobs
Treasurer

Yavapai County Treasurer

1015 Fair Street
Prescott, Arizona 86305
www.co.yavapai.az.us
Prescott(928)771-3233
Cottonwood(928)639-8123
Fax (928)771-3390

Charles Messing
Chief Deputy

TAX RECEIPT

Paid by: RAINBOW PARKS INC/NORTH RANCH UTILITIES

100 RAINBOW DRIVE
LIVINGSTON, TX 77351

Property I.D.

Amount

CHECK NUMBER:-- 574

901-31-77003	2001 TAXES	1,332.39
	TOTL	1,332.39*
	TOTL	1,332.39*
	>>>> BALANCE DUE	1,332.39
980-51-770T2	2001 TAXES	1,872.07
	TOTL	1,872.07*
	>>>> BALANCE DUE	1,872.07
	TOTAL PAID	3,204.46

PAID		Pg 1
OCT 26		
CNTR BATCH:		1031694
Payment Date:		10/26/2001
Interest Date:		10/12/2001
Printed by:		C95000

IF PAID BY 05/01/2002

IF PAID BY 05/01/2002

haven't received a receipt
for 2nd half pmt. yet

NORTH RANCH UTILITIES

Ross Jacobs-Yavapai County Trea

640

3/4/08

\$3,204.46

640

NRU-2001 2nd half of property taxes

Account Detail:

6-0695	Property taxes
6-0695	Property taxes

\$1,602.23

\$1,602.23